

July 20, 2015

New Hampshire Public Utilities Commission Debra Howland, Executive Director 21 South Fruit Street Suite 10 Concord, NH 03301

Re: Hovey Energy, LLC (Docket No. M-2014-2448824)
Application for an Electric Broker License

To Whom It May Concern:

Enclosed please find an Application for an Electric Broker License for our client, Hovey Energy, LLC (Docket No. M-2014-2448824). Once the application has been processed, please forward evidence of approval to the mailing address on the application.

If there is any issue, or if you require any further information, please do not hesitate to contact me or my colleague, Kelly Konkus, at <a href="kkonkus@licenselogix.com">kkonkus@licenselogix.com</a> or (800) 292-0909 x313.

Thank you,

Thank you,

Shayna Desai

LicenseLogix 140 Grand Street, Suite 300 White Plains, NY 10601 sdesai@licenselogix.com (800) 292-0909 x303

#### New Hampshire Public Utilities Commission Debra Howland, Executive Director 21 South Fruit Street, Suite 10 Concord, NH 03301-2429

#### APPLICATION FOR ELECTRIC BROKER LICENSE

(1) The legal name of the applicant as well as any trade name(s) under which it intends to operate in this state;

Hovey Energy, LLC

(2) The applicant's business address, telephone number, e-mail address and website address, as applicable;

4000 North Lincoln Ave. 2<sup>nd</sup> Floor, Chicago IL 60618 (773) 904-5300 mhovey@hoveyenergy.com www.hoveyenergy.com

(3) The name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant if an individual or of the applicant's principal(s), if the applicant is anything other than an individual:

Robert E. Hovey, Jr.
President/CEO
4000 North Lincoln Ave. 2<sup>nd</sup> Floor, Chicago IL 60618
(773) 904-5300
rhovey@hoveyenergy.com

(4) The telephone number of the customer service department or the name, title, telephone number and e-mail address of the customer service contact person of the applicant, including toll free telephone numbers if available;

Melissa P. Hovey Vice President of Operations 855-303-1100 mhovey@hoveyenergy.com

(5) A copy of the applicant's authorization to do business in New Hampshire from the secretary of state, if anything other than an individual;

Attached as EXHIBIT A

(6) Description of the geographic areas of New Hampshire in which the applicant intends to provide service, consistent with Puc 2006.01(a)(10) above;

Applicant is currently licensed as an energy broker in Illinois, Massachusetts, Maryland, New Jersey, Ohio, and Pennsylvania. Applicant is currently applying for licensure as an energy broker in Maine. New Hampshire and Rhode Island.

(7) A statement that the applicant is not representing any supplier interest or a listing of any supplier interest the applicant intends to represent; and

Applicant is not representing any supplier interest or a listing of any supplier interest the Applicant intends to represent.

(8) Except as provided in 2003.04(e), payment of the required filing fee; and

A \$250 check is enclosed.

(9) The signature of the applicant or its representative.

Sian:

Date:

## State of New Hampshire

Filed
Date Filed: 07/10/2015
Business ID: 728748
William M. Gardner
Secretary of State

Filing fee:

\$ 50.00

Fee for Form SRA: Total fees: \$ 50.00

Use black print or type.

Form FLLC-1 RSA 304-C:175

## APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS of the New Hampshire Limited Liability Company laws, the

pshire and for that purpose
Hampshire is
d in New Hampshire is nmercial/residential custo
ervices, Inc.
any) of its registered office is

State of New Hampshire
Form FLLC 1 - Application for Foreign Registration FLLC 3 Page(s)

SEVENTH: The sale or offer for sale of any ownership interests in this business will comply with the

requirements of the New Hampshire Uniform Securities Act (RSA 421-B).



Page 1 of 2

## APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

Form FLLC-1 (Cont.)

:Signature:	Ald .	Mai	ay 7
Print or type name:	Ro	bert E. Hov	ey, Jr.
Title:		President/C	EO
Date signed:	6/18	3/15	
	/		
Complete address of person signing:		- Children	
	4000 North Lincoln Ave		
	Chicago	IL	60618

To receive your ANNUAL REPORT REMINDER NOTICE by email, please enter your email address here: serickson@licenselogix.com

DISCLAIMER: All documents filed with the Corporation Division become public records and will be available for public inspection in either tangible or electronic form.

Mail fees, <u>DATED AND SIGNED ORIGINAL AND FORM SRA</u> to: Corporation Division, Department of State, 107 North Main Street, Concord NH 03301-4989. Physical location: 25 Capitol Street, 3<sup>rd</sup> Floor, Concord, NH 03301.

<sup>\*</sup> Shall be executed on behalf of the foreign limited liability company by a person with authority to do so under the laws of the state or other jurisdiction of its formation, or, if the foreign limited liability company is in the hands of a receiver, executor, or other court appointed fiduciary, trustee, or other fiduciary, it must be signed by that fiduciary.

## Form SRA – Addendum to Business Organization and Registration Forms Statement of Compliance with New Hampshire Securities Laws

Part I – Business Identification and Contact Information
Business Name: Hovey Energy, LLC
Business Address (include city, state, zip): 4000 North Lincoln Ave, Chicago, IL 60618 US
Telephone Number:(773) 904-5300 E-mail:mhovey@hoveyenergy.com
Contact Person: Melissa Hovey
Contact Person Address (if different):
Part II – Check <u>ONE</u> of the following items in Part II. If more than one item is checked, the form will be rejected. [PLEASE NOTE: Most small businesses registering in New Hampshire qualify for the exemption in Part II, Item 1 below. However, you must insure that your business meets all of the requirements spelled out in A), B), and C)]:
<ul> <li>Ownership interests in this business are exempt from the registration requirements of the state of New Hampshire because the business meets <u>ALL</u> of the following three requirements:         <ul> <li>A) This business has 10 or fewer owners; and</li> <li>B) Advertising relating to the sale of ownership interests has not been circulated; and</li> <li>C) Sales of ownership interests – if any – will be completed within 60 days of the formation of this business.</li> </ul> </li> </ul>
<ol> <li>This business will offer securities in New Hampshire under another exemption from registration or will notice file for federal covered securities. Enter the citation for the exemption or notice filing claimed -</li> </ol>
This business has registered or will register its securities for sale in New Hampshire. Enter the date the registration statement was or will be filed with the Bureau of Securities Regulation
This business was formed in a state other than New Hampshire and will not offer or sell securities in New Hampshire.
Part III – Check <u>ONE</u> of the following items in Part III:
1 This business <i>is not being</i> formed in New Hampshire.
2 This business is being formed in New Hampshire and the registration document states that any sale or offer for sale of ownership interests in the business will comply with the requirements of the New Hampshire Uniform Securities Act.
Part IV – Certification of Accuracy
NOTE: The information in Part IV must be certified by: 1) <u>all</u> of the incorporators of a corporation to be formed; or 2) <u>an</u> executive officer of an existing corporation; or 3) <u>all</u> of the general partners or intended general partners of a imited partnership; or 4) <u>one or more</u> authorized members or managers of a limited liability company; or 5) <u>one or more</u> authorized partners of a registered limited liability partnership or foreign registered limited liability partnership.)
(We) certify that the information provided in this form is true and complete (Original Signatures only)
Name (print): Robert E. Hovey, Jr. Signature:
Name (print): Signature: Date signed:
lame (print): Signature: Date signed:



July 20, 2015

New Hampshire Public Utilities Commission Debra Howland, Executive Director 21 South Fruit Street Suite 10 Concord, NH 03301

Re:

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Thank you,

Thank you,

Shayna Desai LicenseLogix 140 Grand Street, Suite 300 White Plains, NY 10601 sdesai@licenselogix.com (800) 292-0909 x303

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4000 North Lincoln Ave. 2<sup>nd</sup> Floor, Chicago IL 60618 (773) 904-5300 mhovey@hoveyenergy.com www.hoveyenergy.com

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Robert E. Hovey, Jr.
President/CEO
4000 North Lincoln Ave. 2<sup>nd</sup> Floor, Chicago IL 60618
(773) 904-5300
rhovey@hoveyenergy.com

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Melissa P. Hovey Vice President of Operations 855-303-1100 mhovey@hoveyenergy.com

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Applicant is not representing any supplier interest or a listing of any supplier interest the Applicant intends to represent.

(8) Except as provided in 2003.04(e), payment of the required filing fee; and

A \$250 check is enclosed.

(9) The signature of the applicant or its representative.

Sian:

Date:

# State of New Hampshire

Filed
Date Filed: 07/10/2015
Business ID: 728748
William M. Gardner
Secretary of State

Filing fee: \$ 50.00
Fee for Form SRA: \$ 50.00
Total fees: \$100.00
Use black print or type.

Form FLLC-1 RSA 304-C:175

## APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS of the New Hampshire Limited Liability Company laws, the indersigned hereby applies for registration to transact business in New Hampshire and for that purpose submits the following statement:					
FIRST: The name of the limited liability company is <u>Hovey Energy, LLC</u>					
SECOND: The name which it proposes to register and do business in New Hampshire is  Hovey Energy, LLC					
THIRD: It is formed under the laws of					
FOURTH: The date of its formation is March 22, 2012					
FIFTH: The nature of the business or purposes to be conducted or promoted in New Hampshire is  Electric and gas energy broker selling to small/medium size commercial/residential cust					
SIXTH: The name of its registered agent in New Hampshire is InCorp Services, Inc.					
and the street address, town/city (including zip code and post office box, if any) of its registered office is					
(agent's business address <u>in New Hampshire</u> )  152 South Mast Street, Goffstown, NH 03045					
SEVENTH: The sale or offer for sale of any ownership interests in this business will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B).					

State of New Hampshire
Form FLLC 1 - Application for Foreign Registration FLLC 3 Page(s)

T1519425029

APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

Form FLLC-1 (Cont.)

*Signature	Autor	Han	ly 7
Print or type name:	Robe	ert E. Hov	ey, Jr.
Title:	, Pr	esident/C	EO
Date signed:	6/18/	15	
	/ /		
Complete address of person signing:			
	4000 North Lincoln Ave		
	Chicago	IL	60618

To receive your ANNUAL REPORT REMINDER NOTICE by email, please enter your email address here: <a href="mailto:serickson@licenselogix.com">serickson@licenselogix.com</a>.

DISCLAIMER: All documents filed with the Corporation Division become public records and will be available for public inspection in either tangible or electronic form.

Mail fees, <u>DATED AND SIGNED ORIGINAL AND FORM SRA</u> to: Corporation Division, Department of State, 107 North Main Street, Concord NH 03301-4989. Physical location: 25 Capitol Street, 3<sup>rd</sup> Floor, Concord, NH 03301.

<sup>\*</sup> Shall be executed on behalf of the foreign limited liability company by a person with authority to do so under the laws of the state or other jurisdiction of its formation, or, if the foreign limited liability company is in the hands of a receiver, executor, or other court appointed fiduciary, trustee, or other fiduciary, it must be signed by that fiduciary.

# Form SRA – Addendum to Business Organization and Registration Forms Statement of Compliance with New Hampshire Securities Laws

Part I - Business Identification and Contact Information	1
Business Name: Hovey Energy, LLC	
Business Address (include city, state, zip): 4000 North I	Lincoln Ave, Chicago, IL 60618 US
Telephone Number: (773) 904-5300 E-mail:	
Contact Person: Melissa Hovey	
Contact Person Address (if different):	
Part II – Check <u>ONE</u> of the following items in Part II. If rejected. [PLEASE NOTE: Most small businesses register II, Item 1 below. <i>However</i> , you must insure that your busin and C)]:	ness meets all of the requirements spelled out in A), B),
<ul> <li>Hampshire because the business meets <u>ALL</u> or</li> <li>A) This business has 10 or fewer owners; a</li> <li>B) Advertising relating to the sale of owner</li> <li>C) Sales of ownership interests – if any – will business.</li> </ul>	nd "ship interests has not been circulated; and be completed within 60 days of the formation of this
notice file for federal covered securities. Enter t	shire under another.exemption from registration or will the citation for the exemption or notice filing claimed -
registration statement was or will be filed with tr	securities for sale in New Hampshire. Enter the date the ne Bureau of Securities Regulation -
4. ✓ This business was formed in a state other than New Hampshire.	New Hampshire and will not offer or sell securities in
Part III - Check ONE of the following items in Part III:	
1 This business is not being formed in New Ham	npshire.
<ol> <li>This business is being formed in New Hampsh offer for sale of ownership interests in the busin Hampshire Uniform Securities Act.</li> </ol>	ire and the registration document states that any sale or ness will comply with the requirements of the New
Part IV - Certification of Accuracy	
(NOTE: The information in Part IV must be certified by: 1 2) an executive officer of an existing corporation; or 3) all limited partnership; or 4) one or more authorized member more authorized partners of a registered limited liability partnership.)	of the general parties of intended general parties of inte
(We) certify that the information provided in this form is	true and complete (Original signatures only)
Name (print): Robert E. Hovey, Jr.	11.01.
Name (print):	- / /
	Date signed:
Name (print):	_ Signature:
	Date signed: